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AUS920010005

713.01

## MANUAL OF PATENT EXAMINING PROCEDURE

PTOL-413A (12-02)  
 Approved for use through 10/22/2000. GMB 0851-0031  
 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

## Applicant Initiated Interview Request Form

Application No. 09/1843063 First Named Applicant: Rabindranath Dutta  
 Examiner: Chongshan Chen Art Unit: 2172 Status of Application: Pending

Tentative Participants:  
 (1) Marilyn Dawkins (2) Chongshan Chen  
 (3) Shahid Al Alam (4) \_\_\_\_\_

Proposed Date of Interview: Nov. 6, 2003 Proposed Time: 11<sup>th</sup> EST (AM/PM)

Type of Interview Requested:  
 (1)  Telephonic (2)  Personal (3)  Video Conference

Exhibit To Be Shown or Demonstrated: [ ] YES [ ] NO  
 If yes, provide brief description: \_\_\_\_\_

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## Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>1026</u>	<u>1-3, 11-18, 25-29</u>	<u>31-34</u>	[ ]	[ ]	[ ]
(2) <u>103</u>	<u>4, 5-6, 19-20</u>	<u>7, 9, 21, 23, 35</u>	[ ]	[ ]	[ ]
(3) _____	<u>21, 23, 35</u>	_____	[ ]	[ ]	[ ]
(4) _____	<u>8, 10, 22, 24, 30</u>	_____	[ ]	[ ]	[ ]

[ ] Continuation Sheet Attached

Brief Description of Arguments to be Presented:  
see Amendment previously filed

An interview was conducted on the above-identified application on \_\_\_\_\_.

**NOTE:**  
 This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).  
 This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

(Applicant/Applicant's Representative Signature)

(Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments (including the amount of time you require to complete this form and/or suggestions for reducing this burden), should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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IBM CORPORATION  
INTELLECTUAL PROPERTY LAW DEPARTMENT - 4054  
11400 BURNET ROAD  
AUSTIN, TEXAS 78758  
FAX # 512-838-3516

DATE: 10-22-03

Number of Pages to Follow (including cover sheet) 2

SEND TO:

Name: Chang Shan Chen  
Tel No: \_\_\_\_\_  
FAX #: 703-746-7239

FROM:

Name: Marilyn Dawkins  
Tel. No.: 512-8  
Contact #: 512-823-1007  
Beverly

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SPECIAL INSTRUCTIONS OR COMMENTS:

Interview Request Form

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